



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gerald P. Sullivan

Serial No. : 09/624,732

Filing Date : July 25, 2000

For : **APPARATUS AND METHOD FOR  
CREATING AND MANAGING A FINANCIAL  
INSTRUMENT**

*Handwritten:* # 16 TDS  
PD  
8/24/03

Art Unit : 3625

Examiner : James Zurita

June 26, 2003

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicant submits herewith copies of documents known to applicant which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in compliance with the requirements of 37

C.F.R. §1.56 as follows:

1. EDGAR Online Introduces EDGARpro Legal, November 15, 2002;
2. LexisNexis Offers SEC Data and Tools from EDGAR Online Available via [www.lexis.com](http://www.lexis.com) and [www.nexis.com](http://www.nexis.com), November 20, 2002;
3. EDGAR Online and UBmatrix to Create Global XBRL Database, September 19, 2002;

4. EDGAR Online and UBmatrix Enter Joint Venture to Develop the First Global XBRL Data Exchange, EDGAR Analyst powered by Ubmatrix, August 22, 2002;
5. EDGAR Online Posts CEO/CFO Compliance Scorecard; Certification of Financial Statements Available Across Services, August 14, 2002;
6. EDGAR Online Adds International Company Data Coverage on 13,300 Companies in 45 Countries Available Across Services, August 12, 2002;
7. EDGAR Online, Inc. Launches an XBRL Repository for Financial Statements Tagged in XBRL, December 31, 2001;
8. EDGAR Online, Inc. and Sector Data and Team to Enhance Customer Experience by Offering Access to SEC Filings on Sectorbase, November 5, 2001;
9. EDGAR Online creates Repository for Financial Statements Tagged in XBRL, December 4, 2000;
10. EDGAR Online Acquires EDGAR News Service from Internet Financial Network, October 18, 2000;
11. EDGAR Online, Inc. Launches Fair Disclosure Site, October 17, 2000;
12. EDGAR Online to Provide SEC Data Service to OneSource Information Services, Inc., June 19, 2000;
13. EDGAR Online Launches Redesigned Web Site, June 7, 2000;
14. FreeEDGAR Introduces Free Full Text Search Tool, May 1, 2000;
15. EDGAR Online Introduces Full Text Search, April 26, 2000;
16. EDGAR Online Joins Global Initiative In Launching XBRL, a New Specification that Exchanges Financial Reports Across All Technologies, Including the Internet, April 6, 2000;
17. EDGAR Online Offers Wireless Access to IPO Express, March 13, 2000;
18. EDGAR Online to Develop Proprietary News Product for ILX Systems, Inc., February 15, 2000;
19. More Powerful IPO Express Service Introduced by EDGAR Online, February 8, 2000;

20. EDGAR Online Introduces Natural Language Business Plan Analysis & Development Tool, December 1, 1999;
21. EDGAR Online, Inc. to Acquire FreeEDGAR.com, July 26, 1999;
22. High-Speed, On-Demand Printing for SEC Documents Available from EDGAR Online, July 21, 1999;
23. WELCOME! YOU'VE GOT SEC FILINGS!, February 18, 1999;
24. EDGAR Online (www.edgar-online.com) Powered by Gateway, February 23, 1998;
25. Real-Time SEC Filings Now Viewable in Microsoft Word, According to Cybernet Data Systems, July 21, 1997;
26. McDonald, Duff, "Unscrambling EDGAR", Money, June, 1999, 175-177;
27. "BakBone Software Announces U.S. Securities and Exchange Commission Registration Complete", M2 Presswire, February 27, 2002;
28. "Sports Group International Inc. Files Form 10-SB with the U.S. Securities and Exchange Commission", Business Wire, December 20, 1999, 0524;
29. "Humatech Inc. Announces Filing of Form 10-SB With U.S. Securities and Exchange Commission", Business Wire, December 17, 1999, 0271;
30. "FastComm Reports Second Fiscal Quarter Results", Business Wire, December 15, 1998;
31. "BakBone Software Enters Into OEM Agreement with Sony Electronics Inc.", Business Wire, January 23, 2003; 1;
32. Tascarella, Patty, "The Evolution of An Investor", Pittsburgh Business Times Journal, January 24, 2003, 11;
33. "BakBone Software Announces Fourth Quarter Fiscal 2002 Financial Results", Business Wire, June 14, 2002; 0055;
34. "Fastcomm Communications Corporation Announces Private Financing Agreement for \$3 Million", Business Wire, May 23, 2001; 2514;
35. "Delphi Automotive Systems Files Proxy Statement, Issues Annual Report", Newswire, March 31, 2000, 6082;

36. "Global Cyber Sports.com - Comments on Listing and OTCBB Trading Status", Business Wire, April 10, 2000; 1137; and

37. "John Wyatt Joins OneSoft as President and Chief Operating Officer", Business Wire, March 27, 2000; 1496

Form PTO/SB/08A is enclosed in duplicate.

Applicant does not waive any rights to take appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the present application.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application are respectfully requested.

Respectfully submitted,

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